

Exeter City Council Corporate Risk Register	Inherent Risk (impact x likelihood)			What has been done to control risks (i.e. countermeasures)	Residual Risk (impact x likelihood) & Risk Priority				What needs to be done to control risks	Target date(s)	Responsible officer/s	Strat Obj ref	Change
	I	L	Tot		I	L	Tot	H M L					
1. Political													
1. Organisational restructure a) Risks & threats: <ul style="list-style-type: none"> Lack of understanding of the new structure Impact on future recruitment & retention Disruption while services realigned and staff appointed to new structure Consequences: <ul style="list-style-type: none"> disruption to services loss of corporate history & knowledge low staff morale loss of internal & external working relationships b) Benefits & opportunities: <ul style="list-style-type: none"> Financial savings Improved coordination & delivery of services More efficient & effective services that respond to new challenges Streamlined & more responsive organisation 	3	3	9	<ul style="list-style-type: none"> Affected staff being kept informed of progress Regular advice from Head of HR on the process Ongoing dialogue with union representatives Regular updates to the Leader & other Group Leaders as appropriate Engagement of external advice as a "critical friend" on the emergent structure Internal & external communication strategy Implemented support programme 	3	2	6	M	<ul style="list-style-type: none"> Culture change programme being developed to articulate & embed new organisation's priorities & values External support & career support to be provided for affected staff Potential impact on staffing arrangements below director & heads of service level being considered in initial phase of review Reinforce absence management procedures Monitor impact 	<ul style="list-style-type: none"> Ongoing Ongoing Ongoing Sept 11 onwards Sept 11 onwards 	CX Assistant CX Head of Human Resources	All	New

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	Risks & benefits	I	L		Tot	What has been done to control risks (i.e. countermeasures)	I	L					
6. Environmental													
1. Flooding a) Risks & threats: <ul style="list-style-type: none"> River Exe overtopping the flood defences Other flooding (e.g. Northbrook) Climate change assessments suggest likelihood of more events in future Consequences: <ul style="list-style-type: none"> risk of drowning damage to Council and other properties displacement of large numbers of people health risks b) Benefits & opportunities: <ul style="list-style-type: none"> Reduction in possible effects of such an event Potential increase in property values Decrease in insurance premiums Increase amount of developable land 	4	3	12	<ul style="list-style-type: none"> Major Incident Plan prepared in conjunction with Environment Agency, Devon CC & other district councils Revised response team Flood training provided Emergency response measures in place Environment Agency has raised height of flood barriers in Exwick & detailed flood modelling of River Exe & its tributaries DEFRA funding to carry out flood alleviation work at Pinhoe Considered in climate change risk plans 	4	3	12	H	<ul style="list-style-type: none"> Identify utilities that are vulnerable to flooding Review Council policies in line with the Pitt Report Strategic Flood Risk Assessment in place Flow monitoring on Northbrook to verify model The EA have commissioned consultants to review Exeter's flood defences & will table a short list of options in 2011 Consultation in 2012-13 followed by update in 2014 & design work in 2015 would enable EA to carry out work in 2016-17. EA will look to stakeholders to bridge funding gap of c£10m after government contribution, but a risk remains that there will be a significant funding shortfall 	<ul style="list-style-type: none"> 2016/17 	Engineering & Construction Manager	7b	↑
7. Managerial/professional													
3. Industrial action a) Risks & threats: <ul style="list-style-type: none"> High level of staff absences in key areas 	4	4	16	<ul style="list-style-type: none"> Business Continuity Plans for services in place Protocol produced for dealing with industrial 	2	4	8	M	<ul style="list-style-type: none"> Review of BCPs to ensure robustness & improve where necessary Identification of priority 	<ul style="list-style-type: none"> Ongoing Ongoing 	Head of Human Resources	7 8	New

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Consequences: <ul style="list-style-type: none"> inability to deliver essential services adverse effect on all service delivery increase pressure on remaining staff additional costs of providing cover diversion of staff to deal with emergencies b) Benefits & opportunities: <ul style="list-style-type: none"> shows commitment to protect residents & businesses 				action							SMT Heads of Service		

8. Financial													
3. Replacement of council tax benefit a) Risks & threats: <ul style="list-style-type: none"> Appropriate software not available within proposed timetable New scheme costs more than funding available Some current client groups disadvantaged by new scheme Very short timetable for introduction Consequence: <ul style="list-style-type: none"> Adverse publicity 	3	4	12	<ul style="list-style-type: none"> Established contact with software supplier to discuss issues Report to SMT & Executive highlighting key issues Made formal response to initial consultation 	3	4	12	H	<ul style="list-style-type: none"> Attend appropriate networking events to discuss relevant issues Begin work on model scheme when published early 2012 	<ul style="list-style-type: none"> Sept & Oct 11 Oct 11 Jan 12 onwards 	Head of Treasury Services Benefits Manager		New

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<ul style="list-style-type: none"> Further savings required from other council budgets 													
8. Planning appeals a) Risks & threats: <ul style="list-style-type: none"> Significant award of costs against the Council Consequence: <ul style="list-style-type: none"> adverse effect on the Council's budget inability to meet targets & standards 	4	4	16	<ul style="list-style-type: none"> Officers advise Members if potential risk at Planning Committee Treatment of telecom applications amended following award 	4	2	8	M	<ul style="list-style-type: none"> Active monitoring of officer reports & appeals by Development Manager & Planning Solicitor Involvement of members in any decisions to refuse Proceed to adoption of core strategy & community infrastructure levy as soon as possible 	<ul style="list-style-type: none"> Ongoing 	Head of Planning & Building Control	7 8	↑
10. Localisation of business rates a) Risks & threats: <ul style="list-style-type: none"> Uncertainty of future funding with possible reduction of funding Consequence: <ul style="list-style-type: none"> Further ongoing revenue savings required b) Benefits & opportunities: <ul style="list-style-type: none"> New funding regime based upon localisation of business rates & future growth presents additional funding opportunities 	4	2	8	<ul style="list-style-type: none"> Commenced work on reviewing consultation papers Engaged external assistance to perform initial analysis of funding options Report to SMT & Executive highlighted key issues Made formal response to consultation 	4	2	8	M	<ul style="list-style-type: none"> Build into medium term financial plan as appropriate 	<ul style="list-style-type: none"> Ongoing 	Head of Treasury Services		New